

3-6-02

**PATENT**

Express Mail Label No.: ET923835161US

Date of Deposit March 5, 2002

03/05/02  
U.S. PTO  
Commissioner of Patents  
Washington, D.C. 20231

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Date: March 5, 2002  
Docket No. YOR91999-0420-US2  
Prior Art Unit: 2811  
Prior Ex. Ori Nadav

A  
j1002 U.S. PTO  
10/09/1193  
03/05/02

Sir:

This is a request for filing a [ ] continuation [ ] divisional  
[X] continuation-in-part under 37 C.F.R. 1.53(d) of pending prior application  
Serial No. 09/579,044 filed on May 26, 2000 of H.H. Chen, et al for  
Semiconductor High Dielectric Constant Decoupling Capacitor Structures and  
Process For Fabrication.

1. [ ] As last amended, the title has been changed to \_\_\_\_\_.
2. [ ] As last amended, the name of applicant has been changed to \_\_\_\_\_.
3. [X] Enclosed is [X] a copy of the oath or declaration as filed in the prior application or [ ] a new oath or declaration.
4. [X] The filing fee is calculated below:

Claims as filed in <u>this application</u>	Number <u>Filed</u>	Number <u>Extra</u>	Rate	Basic Fee
Total Claims	20- 20	= 3	X \$18	= 0.00
Independent Claims	2- 3	= 0	X \$84	= 0.00
Multiple Dependent Claims, if any	(\$280)			= _____
Total Filing Fee	-----			\$ 740.00

5. [X] Charge Deposit Account 50-0510 in the amount of \$ 740.00.
- [X] Charge any other fees which may be required, except for the Issue Fee, or credit any overpayment to Deposit Account No. 50-0510.
- [ ] Cancel in this application original Claims \_\_\_\_\_ of the prior application before calculating the filing fee.
- [X] Amend the specification by inserting before the first line the sentence: - This is a [ ] continuation [ ] division  
[X] continuation-in-part of application Serial No. 09/579,044, Filed May 26, 2000.
8. [ ] Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ In \_\_\_\_\_ is claimed under 35 U.S.C. 119.
9. [ ] The certified copy of the priority application has been filed in the application as originally filed on \_\_\_\_\_.
10. [ ] An appointment of associates is enclosed.
11. [X] Address all future communications to Anne Vachon Dougherty,  
3173 Cedar Road, Yorktown Heights, N.Y. 10598.
12. [X] A preliminary amendment to this application is enclosed.
13. [ ] Enter in this application the amendment under 37 C.F.R. 1.116 which was unentered in the prior application.

for IBM Corporation  
3173 Cedar Road  
Yorktown Heights, NY 10598

*Anne Vachon Dougherty*  
Anne Vachon Dougherty  
Reg. No. 30,374  
(914) 962-5910